

**The Inter-American Institute for Cooperation in  
Agriculture (IICA)**

**Sustainable Multiple-Use Landscape Consortia  
in Brazil Project (P172497)**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**May 21, 2024**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Inter-American Institute for Cooperation on Agriculture / Instituto Inter-Americano de Cooperação para Agricultura – IICA (the Recipient) will implement the Sustainable Multiple-Use Landscape Consortia in Brazil Project (the Project), with the assistance of the Ministry of Environment and Climate Change (MMA), the Ministry of Agriculture and Livestock (MAPA) and the Brazilian Forest Service (SFB), as set out in the Grant Agreement. The International Bank for Reconstruction and Development (the Bank), acting as an Implementing Agency of the Global Environmental Facility (GEF), has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient, represented by the Director-General (*Diretor Geral*) of IICA. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<b>REGULAR REPORTING</b> Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).	Semiannual Reports throughout Project implementation, commencing after the Effective Date.  Submit each report to the Bank no later than 45 days after the end of each reporting period.	IICA/Project Implementing Unit (PIU)
B	<b>INCIDENTS AND ACCIDENTS</b> Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, <i>inter alia</i> , cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notify the Bank within 48 hours after learning of the incident or accident. Timing on the submission of subsequent report would be specified by the Bank within a timeframe acceptable to the Bank, as requested.	IICA/PIU
C	<b>CONTRACTORS' MONTHLY REPORTS</b> Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the Bank.	Monthly monitoring reports. The PIU shall report progress to the Bank in the semiannual Progress Reports.	IICA/PIU
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<b>ORGANIZATIONAL STRUCTURE</b> Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks under the PIU, including, <i>inter alia</i> , one environmental and social risk management specialist.	An organizational structure including qualified staff and resources shall be established within 30 days after the Effective Date and shall be maintained throughout Project implementation.	IICA/PIU
1.2	<b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b> Finalize, disclose, and implement the Environmental and Social Impact Assessment (ESIA) and the Environmental and Social Management Framework (ESMF). The ESIA and ESMF shall be disclosed on IICA's website.	No later than 30 days after the Effective Date and shall be implemented throughout Project implementation.	IICA/PIU
1.3	<b>MANAGEMENT OF CONTRACTORS</b>	Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation.	IICA/PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Incorporate the relevant aspects of the E&S instruments and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors and terms of reference of consultancies. Thereafter ensure that the contractors and consultants comply with the ESHS specifications of their respective contracts.		
1.4	<b>TECHNICAL ASSISTANCE</b> Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference reviewed and found acceptable to the Bank, that incorporate the relevant requirements of the ESSs, the ESIA, the ESMF, the Stakeholder Engagement Plan, and the Framework for Consultation with Traditional Communities. Thereafter ensure that the outputs of such activities comply with the terms of reference.	Throughout Project implementation.	IICA/PIU
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<b>LABOR MANAGEMENT PROCEDURES</b> Adopt and implement the Labor Management Procedures (LMP) for the Project, including, <i>inter alia</i> , provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), prevention of all forms of forced labor and child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	No later than 30 days after the Effective Date. Supervise contractors for compliance with LMP throughout Project implementation.	IICA/PIU
2.2	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Maintain, operate and report on a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Grievance mechanism operational no later than 30 days after the Effective Date and maintained throughout Project implementation.	IICA/PIU
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>PEST MANAGEMENT PLAN</b> Include the elements of a Pest Management Plan in the final, consulted version of the ESMF.	No later than 30 days after Project effectiveness.	IICA/PIU
3.2	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b> Ensure that all bidding documents, whenever relevant, include references to the principles of this standard.	Throughout Project implementation.	IICA/PIU
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>COMMUNITY HEALTH AND SAFETY</b> Adopt and implement the worker's Code of Conduct and the COVID-19 Mitigation Approach in all contacts with Project beneficiaries and relationships with local communities.	Throughout Project implementation.	IICA/PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
Not relevant for the Project.			
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS</b> As part of the ESMF, IICA shall consider the potential direct and indirect impacts of the Project on habitats and biodiversity, particularly the risks of expansion of production areas and the use of chemical products harmful to pollinators and other biodiversity elements, and shall define measures to minimize these impacts.	No later than 30 days after Project effectiveness and throughout Project implementation.	IICA/PIU
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<b>INDIGENOUS PEOPLES PLANNING FRAMEWORK</b> Finalize, disclose, and implement the Framework for Consultation with Traditional Communities aligned with the objectives of ESS 7. The Framework shall be disclosed on IICA's website and relevant aspects shall be incorporated in the terms of reference for hiring the comprehensive landscape studies.	No later than 30 days after Project effectiveness and throughout Project implementation	IICA/PIU
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<b>CHANCE FINDS</b> Adopt the chance findings procedures defined in the ESMF and ensure that all bidding documents, when relevant, include reference to the chance findings procedures and the ESMF.	No later than 30 days after Project effectiveness and throughout Project implementation.	IICA/PIU
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
Not relevant for the Project.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b> Finalize, disclose and implement the Stakeholder Engagement Plan prepared for the Project. The SEP shall be publicly disclosed on IICA's website.	No later than 30 days after the Effective Date and throughout Project implementation	IICA/PIU
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	No later than 30 days after the Effective Date and throughout the Project implementation.  Semi-annual reports sent to the Bank to provide details on the functioning of the grievance mechanism.	IICA/PIU
<b>CAPACITY SUPPORT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS1	Training on the Bank’s Environmental and Social Standards for civil servants and PIU staff working on the Project’s implementation. This training shall be carried out in collaboration with the Bank and in agreement with the PIU.	Periodically, throughout implementation	IICA/PIU