

**Inter-American Institute for Cooperation on
Agriculture**

**Public Policies for Resilient Agriculture in Brazil
(P510389)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

Appraisal Version

August 27, 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Inter-American Institute for Cooperation on Agriculture (IICA) will implement the Public Policies for Resilient Agriculture in Brazil Project (the Project), with the involvement of the Federal Ministry of Agriculture and Livestock (*Ministério da Agricultura e Pecuária*) and Federal Ministry of Agrarian Development and Family Farming (*Ministério do Desenvolvimento Agrário e Agricultura Familiar*), as set out in the Original Grant Agreement (the Agreement). The International Bank for Reconstruction and Development (the Bank) has agreed to provide the original financing for the Project, as set out in Agreement.
2. The IICA shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the IICA shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the IICA shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the IICA, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the IICA agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient's Representative specified in the Agreement. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	ORGANIZATIONAL STRUCTURE Establish and maintain an organizational structure with qualified personnel and resources to support environmental and social risk management within the PMU, including, but not limited to, an environmental and social risk management specialist	a. Within 30 days after the Project Effective Date and thereafter maintain the PMU and these positions throughout Project implementation.	IICA
B	CAPACITY BUILDING PLAN/MEASURES Develop and carry out training sessions on the E&S risk management procedures described in the Project Operation Manual and required under the ESCP for direct and contracted Project Workers.	The first session must be conducted within 90 days after the Project Effective Date. Thereafter, conduct training sections with contracted workers at the beginning of the period of the contract.	IICA/PMU
MONITORING AND REPORTING			
C	REGULAR REPORTING Prepare and submit to the Bank regular monitoring reports on the environmental and social performance of the Project, including but not limited to the implementation of measures to address the relevant E&S risks, stakeholder engagement activities, and the functioning of the grievance mechanism(s).	Submit semiannual reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 45 days after the end of each reporting period.	IICA/PMU
D	CONTRACTORS' MONTHLY REPORTS Require contractors and supervising firms to provide monthly monitoring reports, including relevant aspects of E&S performance in accordance with the metrics specified in the respective bidding documents and contracts, keep these reports in the Project's files and make these reports available to the Bank upon request.	Throughout Project implementation.	IICA/PMU
E	INCIDENTS AND ACCIDENTS a) Promptly notify the Bank of any incident or accident related to the Project that has, or is likely to have, a significant adverse effect on the environment, affected communities, the public, or workers, including, but not limited to, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents resulting in death, serious or multiple injuries. Provide sufficient details about the incident or accident, indicating the immediate steps taken or planned to be taken to address it, and any information provided by any contractor and supervisory entity, as appropriate.	Notify the Bank no later than 48 hours after learning about the incident or accident. Provide available details upon request.	IICA/PMU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> b) Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. c) Subsequently, in accordance with the Bank's request, prepare and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence. 	Provide review report and Corrective Action Plan to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.	
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ENVIRONMENTAL AND SOCIAL RISK MANAGEMENT <ul style="list-style-type: none"> a) Define and adopt the procedures for screening and managing E&S risks of Project activities in a manner consistent with the objectives and requirements of the relevant ESSs ensuring compliance with the ESSs in the Project Operational Manual. b) Incorporate the E&S risk screening and management procedures into the E&S specification of the procurement documents and contracts with contractors and supervising firms and thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. c) Make these contracts available to the Bank upon request. 	Adopt and ensure implementation of the E&S risk screening and management procedures within 30 days after the Project Effective Date and throughout Project implementation.	IICA/PMU
1.2	TECHNICAL ASSISTANCE <p>Ensure that consulting, studies, training, and any other technical assistance for the project are in accordance with the terms of reference validated by the Bank, which incorporate relevant requirements of the ESSs and support sustainable agricultural technologies, with attention to environmental criteria, avoiding socio-environmental risk practices. Thereafter ensure that the output of such activities is in accordance with the terms of reference.</p>	Throughout Project implementation.	IICA/PMU
1.3	USE OF BRAZIL ENVIRONMENTAL AND SOCIAL FRAMEWORK		
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES <ul style="list-style-type: none"> a) Comply and ensure that all contractors comply with the terms of employment signed with Project workers with labor management procedures, labor rights and occupational health and safety standards established under the country's labor law. 	Throughout Project Implementation	IICA/PMU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	b) Incorporate in the procurement documents and contracts signed with contractors the commitment to ensure that all subcontractors comply in the terms of employment signed with Project workers with labor management procedures, labor rights and occupational health and safety standards established under the country's labor law.		
2.3	GRIEVANCE MECHANISM FOR PROJECT WORKERS Maintain in place and disseminate to all project workers the Grievance Redress Mechanism and IICA' "Policy for the Processing of Reports and the Protection of Whistleblowers and Witnesses", "Sexual Assault and Harassment Prevention Policy" and "Policy against Human Trafficking and Child Labor".	Throughout Project implementation.	IICA
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
This standard is currently not relevant.			
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	COMMUNITY HEALTH AND SAFETY a) Assess and manage specific risks and impacts to the community arising from Project activities in terms of potential exposure to communicable diseases, misconduct of Project workers and SEA/SH risks and incorporate procedures to address these risks in the Project Operation Manual. b) Incorporate requirements to ensure Project Workers are aware of i) expected proper behavior standards, ii) Project's zero tolerance with SEA/SH; and iii) sanctions in case of misconduct in the contractors' procurement documents and contracts	a) Same timeframe of action 1.1. b) Throughout Project implementation.	IICA/PMU
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is currently not relevant.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS Assess the direct and indirect impacts of the project on <i>habitats</i> and biodiversity, particularly the risk of expansion of the productive area and the use of chemicals that are harmful to pollinators and other elements of biodiversity and should define measures to minimize these impacts and incorporate procedures to address these risks in the Project Operation Manual and in the procurement documents and contracts of contractors.	Same timeframe of action 1.1.	IICA/PMU
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	CONSULTATION AND ENGAGEMENT	Same timeframe of action 1.1.	IICA/PMU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	a) Prepare and implement a procedure for meaningful consultation with Indigenous Peoples and Traditional Communities as part of the Project Operation Manual. b) Incorporate these procedures in the procurement documents and contracts of contractors.		
ESS 8: CULTURAL HERITAGE			
This standard is currently not relevant.			
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is currently not relevant.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PROCEDURES a) Define in the Project Operation Manual the strategies and procedures for ensuring a) access to information and b) participation of all relevant stakeholders – including vulnerable and disadvantaged groups (including, <i>inter alia</i> , indigenous peoples, traditional communities, women and youth) – in an equitable basis and culturally appropriate manner in Project activities in a manner consistent with the requirements of ESS 10. b) Incorporate in the procurement documents and contracts of contractors and supervising firms the requirement of complying with these strategies and procedures.	Same timeframe of action 1.1.	IICA/PMU
10.2	PROJECT GRIEVANCE MECHANISM Maintain, disseminate through all Project communication materials and operate IICA's Grievance Redress Mechanism as the Project's channel to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Throughout Project implementation.	IICA
INDICATORS FOR IMPLEMENTATION READINESS			
The following actions are indicators for implementation readiness: 1.1 2.3 10.2			